

COOPERATIVE AGREEMENT MODIFICATION

Agreement/Appendix Title and Number: W912PQ-17-2-1023

Project Name/Description and Location: ANG Security Cooperative Agreement

Modification Number: P0006

ISSUED BY: NATIONAL GUARD BUREAU

ISSUED TO: THE STATE OF NEW YORK

This modification is issued pursuant to the Authorities, 31 U.S.C. Chapter 63; MCA and Appendices Article VII, Sect 703; MCCA Article XII, Sect 1201 of the basic cooperative agreements. Mark purpose and obtain signatures as appropriate IAW NGR 5-1, Chapter 3.

- a. FUNDING
- b. TERMS AND CONDITIONS
- c. TERMINATION
- d. OTHER ADMINISTRATIVE

FED	Allotment	\$ 2,315,700.00	\$43,300.00	\$2,359,000.00
FED	AFP	\$2,315,700.00	\$43,300.00	\$2,359,000.00

DESCRIPTION OF MODIFICATION:

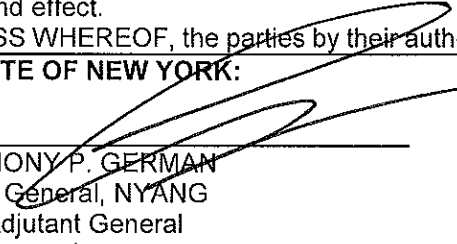
1. JUSTIFICATION: Upward obligation for 106th. See change column.
2. ACTION: TAG review and signature. Return signed modification to MNPF-EA, Room 207, Cooperative Agreements, x4775.
3. This Appendix is subject to the availability of funds.
4. Replace pages 5 of Appendix 1023, FY 17 with updated attachment.
5. As FY2017 budgets are approved by NGB, program managers will add funding to the Appendix by separate modification.

EXECUTION

Except as provided herein, all terms and conditions of the Cooperative Agreement and/or Appendix remain unchanged in full force and effect.

IN WITNESS WHEREOF, the parties by their authorized representatives execute this Cooperative Agreement Modification.

THE STATE OF NEW YORK:

BY: 
ANTHONY P. GERMAN
Major General, NYANG
The Adjutant General


Date: 9/19/18

NATIONAL GUARD BUREAU:

BY: 
STEVEN M. ROWE
COLONEL, USA
USPFO for New York

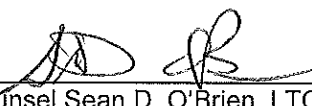
Date: 19 Sep 2018

APPROVED AS TO LEGAL FORM:

BY: 
Counsel Robert G. Conway, Jr. MINLA

Date: SEP 18 2018

APPROVED AS TO LEGAL FORM:

BY: 
Counsel Sean D. O'Brien, LTC, JA, NYARNG

Date: 18 SEP 2018

Section 2309. Funding Limitations.

a. Approved Budget/Annual Funding Program (AFP): The total dollar amount that NGB anticipates, subject to the availability of funds, being available for reimbursement to the State for its costs in fulfilling its responsibilities under this Appendix. This amount may be increased or decreased by NGB during the fiscal year.

b. Total Dollars Obligated: The total amount of funds obligated for NGB's share under this Appendix. Only funds obligated through an executed CA modification to this appendix are available for reimbursement to the State. Funds shall be obligated as received by the CA PM.

c. The following funding limitations are provided for each fiscal year as it occurs.

1. Fiscal Year 2016 :	Approved Budget/(AFP) \$2,385,000.00	Total Dollars Obligated \$2,385,000.00
2. Fiscal Year 2017 :	Approved Budget/(AFP) \$2,359,000.00	Total Dollars Obligated \$2,359,000.00
3. Fiscal Year _____:	Approved Budget/(AFP) \$ _____	Total Dollars Obligated \$ _____
4. Fiscal Year _____:	Approved Budget/(AFP) \$ _____	Total Dollars Obligated \$ _____
5. Fiscal Year _____:	Approved Budget/(AFP) \$ _____	Total Dollars Obligated \$ _____

MODIFICATION REQUIREMENTS FOR
AIR GUARD FY-17
AIR BASE Security Forces PROGRAM MANAGEMENT
CONTRACT NUMBER W912PQ-17-2-1023
MORD # F9E3S5305MW01-04

LOCATION	FUNDING CATEGORIES	MOD 1 CRA TO 4/28/2017		AS OF:	9/12/2018
		VALIDATED FUNDING (AFP)	CURRENT ALLOCATION (ALLOTMENT)	CHANGE +/-	REVISED ALLOCATION (ALLOTMENT)
GABRESKI	CAPPED SALARY	\$ -			
FEDERAL	VALIDATED - SALARY 100%	\$ 750,820.90	861,000.00	43,300.00	904,300.00
	FRINGE BENEFIT 100%		-		-
	OTHER THAN PS 100%		-		-
	CPP		-		-
	TOTAL FEDERAL	\$ 750,820.90	\$ 751,000.00	43,300.00	\$ 904,300.00
	IKA				

JUSTIFICATION: CRA FUNDING SEE DOCUMENT # FY 17 # 125

I certify that the adjustments shown above are true and correct. Any and all changes are made in accordance with approved Federal Funding documents (PLEASE ATTACH FUNDING DOCUMENTS).

JOHNSON.BRIAN, Digitally signed by
 PATRICK.10246051 JOHNSON.BRIAN.PATRICK.102
 47 4605147
Date: 2018.09.12 09:51:31 -04'00'

Maj Brian P Johnson, Comptroller, NYANG

DATE: 5/2/2018

**Air National Guard Readiness Center
BUDGET AUTHORIZATION/ALLOCATION OR OPERATING BUDGET AUTHORITY**

ISSUED BY:
MAJOR COMMAND IDENTIFIER: 41
Air National Guard Readiness Center
3501 FETCHET AVE

JB ANDREWS, MD 30762
BA Approving Official:
Electronically Authenticated AFML
John L. Quinlan, 2LT, Air Force

ISSUED TO:
ALLOTMENT RECIPIENT IDENTIFIER: 41G3
106th Rescue Wing
USPFO for New York
330 Old Niskayuna Road
Latham, NY 12110-2224

DOCUMENT NUMBER: 125
DATE ISSUED: MAY 1, 2018
ACCOUNTING INSTALLATION: 387700
REGISTER NUMBER: 1157562
Doc ID # 01157562125D


Steven M. Rowe, COL, USA, USP&FO-NY

SYMBOL: 5773840 (58) **Operation and Maintenance, Air National Guard (3840)** **FY:** 2017

SECTION A - PROGRAM **FUND CONTROL LEVEL - Legal subdivision of funds is at the BA**

BUDGET ACTIVITY / PROGRAM LINE ITEM	PREVIOUS AMOUNT	NET CHANGE	REVISED AMOUNT
DIRECT FUNDING			
BUDGET PROGRAM 01: Operating Forces	23,593,200.00	43,300.00	23,636,500.00
BUDGET PROGRAM 04: Administration and Servicewide Activities	49,700.00		49,700.00
TOTAL DIRECT	23,642,900.00	43,300.00	23,686,200.00
TOTAL APPROPRIATION	23,642,900.00	43,300.00	23,686,200.00

SECTION B - AUTHORITY **ALLOTMENT**

	QUARTER 1	QUARTER 2	QUARTER 3	QUARTER 4
DIRECT				
Previous Amount				23,642,900.00
Net Change				43,300.00
Revised Amount				23,686,200.00
Cumulative				23,686,200.00

SECTION C - SUBSIDIARY LIMITATIONS **No Data Found**

SECTION D - REMARKS (STANDARD, OTHER)

STANDARD REMARKS

THE OBLIGATION AUTHORITIES PROVIDED IN THIS DOCUMENT ARE SUBJECT TO APPORTIONMENT BY THE OFFICE OF MANAGEMENT AND BUDGET AND TO THE PROVISIONS OF 31 U.S.C. 1517 AND DOD FINANCIAL MANAGEMENT REGULATION 7000.14-R. PROGRAM FUNDING RELEASED IN THIS AND SUBSEQUENT DOCUMENTS ARE TO BE EXECUTED ONLY IN ACCORDANCE WITH ALL APPLICABLE PROVISIONS OF THE CONSOLIDATED APPROPRIATIONS ACT OF 2017 (P.L. 115-31).

THE FOLLOWING COMMENTS ARE AN INTEGRAL PART OF THIS FUNDING DOCUMENT & REVEAL LEGAL AND STATUTORY AND/OR REGULATORY LIMITATIONS:

- A. FUNDS SHALL NOT BE DISTRIBUTED, OR OBLIGATIONS AUTHORIZED OR INCURRED, IN EXCESS OF (1) THE TOTAL DIRECT AUTHORITY SHOWN OR (2) DIRECT FUNDS PLUS VALID EARNED REIMBURSEMENTS. REIMBURSABLE BUDGET AUTHORITY WILL BE AUTHORIZED AS EARNED. REIMBURSEMENT AUTHORITY IS AVAILABLE TO THE EXTENT REALIZED AS STATED FOR EACH BUDGET ACTIVITY (BA).
- B. RECRUITING AND ADVERTISING FUNDING IS FLOORED. UNITS CANNOT REDUCE BAC 04 BELOW THE LEVEL RECEIVED ON THEIR FUNDING DOCUMENT. ANY TRANSFER OF FUNDS FROM BAC 01 TO BAC 04, REQUIRES PRIOR APPROVAL FROM NGB/FMA AND NGB/RSS.
- C. JCS EXERCISES/DEPLOYMENT FUNDING IS FLOORED AND MUST BE IDENTIFIED BY ANG ESP CODE.
- D. FUNDS ISSUED IN SUPPORT OF OSD APPROVED COUNTER NARCOTICS PLANS ARE INCLUDED IN BA 01. THESE AMOUNTS MAY ONLY BE OBLIGATED OR EXPENDED IN SUPPORT OF APPROVED PLANS. USE OF PROPER ESP CODES ARE REQUIRED. REPROGRAMMING BETWEEN COUNTER NARCOTICS ESP CODES IS AUTHORIZED.

SECTION D - REMARKS (STANDARD, OTHER)

- E. SPECIAL GUIDANCE IS PROVIDED IN THE ANG FINANCIAL GUIDANCE, DATA ELEMENT CODE LISTINGS, AND FROM ANG BUDGET ANALYSTS.
- F. FUNDING ISSUED FOR CONVERSIONS/ROBUSTING WILL BE IDENTIFIED WITH AN ISSUE EEIC OF XXX7M/7R/7S. OBLIGATIONS FOR THESE FUNDS MUST INCLUDE THE APPLICABLE CONVERSION ANG ESP CODE.
- G. FOREIGN MILITARY SLAES (FMS)--PROPER ACCOUNTING AND CODING ARE REQUIRED. ENSURE BOTH PROGRAM AND OBLIGATIONS ARE REPORTED ACCURATELY WITH ANG ESP CODES.
- H. ENVIRONMENTAL FUNDING IS FLOORED.
- I. FLYING HOUR FUNDING IS FLOORED. FLYING HOUR FUNDING CONSISTS OF AVPOL, FLY DLR, AND FLY SUPPLIES.
- J. ALL ESP CODED FUNDING IS FLOORED AND MAY NOT BE REALIGNED FOR ANY OTHER PURPOSE WITHOUT PRIOR APPROVAL FROM NGB/FMA.
- K. DSMT (CIVILIAN/DUAL STATUS MILITARY PAY) IS FLOORED AND MAY NOT BE REALIGNED FOR ANY OTHER PURPOSE WITHOUT PRIOR APPROVAL FROM NGB/FMA
- L. FUNDING DOCUMENTS SHOULD BE LOADED IN BQ BY UNITS WITHIN TWO BUSINESS DAYS OF BEING ISSUED TO UNITS BY NGB/FM, AND MUST BE LOADED IN BQ NO LATER THAN END OF MONTH. BQ TARGETS MUST MATCH THE FUNDING ISSUED ON THE FUNDING DOCUMENTS.

SECTION E - NET CHANGE TRANSACTION LIST

BudProg	BA/AG/SAG	PE	EEIC	Local Use	D/R	AMOUNT	QTY
01	011Z UOA Request: 2018-002934				D	43,300.00	0

SECTION F - BELOW LEGAL LIMIT DETAIL

Not Selected for Display

CONTINUATION PAGE

AF Form 406

MISCELLANEOUS OBLIGATION/REIMBURSEMENT

DOCUMENT NUMBER
F9E3S56305MW01-05 00

PAGE 2 of 2

DESCRIPTION

AMOUNT

Line Item Total:

\$ 43,300.00

Accounting Line Total:

\$ 43,300.00

Upward Obligation Adjustment (UOA) Request Number 2018-002934

ANG 106RQW/CES - CES

1. UOA Request Information:

FY 17 APX 23
 Total Adjustments: \$43,275.09
 Funding Source Shortfall: \$0.00
 Anti-Deficiency Act Reporting: No

Request Purpose/Justification: Short fall due to RETRO pay on CSEA Contract,

2. Original Obligation Information:

Program/Project Title: MCA APX 23 FY 17
 Contract Type: Not a Contract
 Contract/Document Number: F9E3S56305MW01
 Date: 30 Sep 2017
 Contractor/Vendor Name: NYS Department of Military and Naval Affairs

Purpose: Cooperative Agreement W912PQ1721023 Security Force cooperative Agreement, between New York State and National Guard Bureau.

3. Original Obligation Accounting Data:

Main Account: 3840 - 1 year
 OAC: 41
 OBAN: G3
 Total Amount Obligated: \$861,000.00

4. Remarks:

original oars short of requirement # 2018-17
 original computation

Holly Reycroft
 Holly Reycroft

5. Routing:

Step	Current	Tier	Step Type	Coordination Type	Organization	Received Date	Certification
1		Base	Owner		CES	27 Feb 2018	
2		Base	Review		ANG 106th Rescue Wing (ALO)	27 Feb 2018	
3		MAJCOM	Review		Air National Guard	25 Apr 2018	
4		MAJCOM	Coordination	NGB/FM Funds Control	Air National Guard	26 Apr 2018	
5	✓	MAJCOM	Approval		Air National Guard	26 Apr 2018	Proper Charge

6. Adjustment and Funding Source Detail:

Line Type	Adjustment Type Header	Adjustment SubType	Delivery Order	ACRN	CLIN	FY	SAG	PEC	Cost Center	Adjustment Amount	Source Amount
Adjustment	Ordinary	Other				2017	011Z	52625F	3743J0	\$43,275.09	
Funding						2017	011Z	000000	000000		\$43,275.09

Approved by:

ADAMS.SALINDA.CHANALE 1263394875